

| General information about company | |
|--|-------------------------------|
| Scrip code | 526173 |
| Name of the company | Andrew Yule & Company Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2016 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | Yes |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|--|---|------------|-----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 1 | 436374630 | | | 436374630 | 89.25 | 436374630 | | 436374630 | 89.25 |
| (B) | Public | 28870 | 52576348 | | | 52576348 | 10.75 | 52576348 | | 52576348 | 10.75 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 28871 | 488950978 | | | 488950978 | 100 | 488950978 | | 488950978 | 100 |

| | | | | | | | | | | |
|------|--------------------------------|--|--|--|-----|-----------|-------|--|--|-----------|
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | 436374630 | 89.25 | | | 488324780 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|-----|--|----------------------------|--|--|---|--|--|---|------------|-----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (b) | Central Government/ State Government(s) | 1 | 436374630 | | | 436374630 | 89.25 | 436374630 | | 436374630 | 89.25 |

| | | | | | | | | | | | |
|--|--|-------|-----------|--|--|-----------|-------|-----------|--|-----------|-------|
| Sub-Total (A)(1) | | 1 | 436374630 | | | 436374630 | 89.25 | 436374630 | | 436374630 | 89.25 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 1 | 436374630 | | | 436374630 | 89.25 | 436374630 | | 436374630 | 89.25 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (a) | Mutual Funds | 3 | 950 | | | 950 | 0 | 950 | | 950 | 0 |
| (f) | Financial Institutions/ Banks | 29 | 12724179 | | | 12724179 | 2.6 | 12724179 | | 12724179 | 2.6 |
| (i) | Any Other (specify) | 1 | 10765076 | | | 10765076 | 2.2 | 10765076 | | 10765076 | 2.2 |
| Sub-Total (B)(1) | | 33 | 23490205 | | | 23490205 | 4.8 | 23490205 | | 23490205 | 4.8 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding | 28271 | 25097131 | | | 25097131 | 5.13 | 25097131 | | 25097131 | 5.13 |

| | | | | | | | | | | | |
|--|--|-------|-----------|--|--|-----------|-------|-----------|--|-----------|-------|
| | nominal share capital up to Rs. 2 lakhs. | | | | | | | | | | |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 7 | 990713 | | | 990713 | 0.2 | 990713 | | 990713 | 0.2 |
| (b) | NBFCs registered with RBI | 4 | 5138 | | | 5138 | 0 | 5138 | | 5138 | 0 |
| (e) | Any Other (specify) | 555 | 2993161 | | | 2993161 | 0.61 | 2993161 | | 2993161 | 0.61 |
| Sub-Total (B)(3) | | 28837 | 29086143 | | | 29086143 | 5.95 | 29086143 | | 29086143 | 5.95 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 28870 | 52576348 | | | 52576348 | 10.75 | 52576348 | | 52576348 | 10.75 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 28871 | 488950978 | | | 488950978 | 100 | 488950978 | | 488950978 | 100 |
| Total (A+B+C) | | 28871 | 488950978 | | | 488950978 | 100 | 488950978 | | 488950978 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--|--|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (b) | | | | 89.25 | 436374630 | 100 | | | 436374630 |
| Sub-Total (A) (1) | | | | 89.25 | 436374630 | 100 | | | 436374630 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 89.25 | 436374630 | 100 | | | 436374630 |

| | | | | | | | | | |
|--|---|--|--|-------|-----------|-------|--|--|-----------|
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 0 | 0 | 0 | | | 0 |
| (f) | | | | 2.6 | 0 | 0 | | | 12706527 |
| (i) | | | | 2.2 | 0 | 0 | | | 10765076 |
| Sub-Total (B) (1) | | | | 4.8 | 0 | 0 | | | 23471603 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 5.13 | 0 | 0 | | | 24507618 |
| (a(ii)) | | | | 0.2 | 0 | 0 | | | 990713 |
| (b) | | | | 0 | 0 | 0 | | | 5138 |
| (e) | | | | 0.61 | 0 | 0 | | | 2975078 |
| Sub-Total (B) (3) | | | | 5.95 | 0 | 0 | | | 28478547 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | | | 10.75 | 0 | 0 | | | 51950150 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | 436374630 | 89.25 | | | 488324780 |
| Total (A+B+C) | | | | 100 | 436374630 | 89.25 | | | 488324780 |

Central Government/ State Government(s)

| | | |
|---|--------------------|---------------------------------------|
| Serial No. | 1 | |
| Name of the Shareholders (I) | President of India | Click here to go back |
| PAN (II) | | Total |
| No. of fully paid up equity shares held (IV) | 436374630 | 436374630 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 436374630 | 436374630 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 89.25 | 89.25 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 436374630 | 436374630 |
| Class eg:y | | |
| Total | 436374630 | 436374630 |
| Total as a % of Total Voting rights | 89.25 | 89.25 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and warrants (X) | | |

| | | |
|---|------------------------|-----------|
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 89.25 | 89.25 |
| Number of Locked in shares (XII) | | |
| No. (a) | 436374630 | 436374630 |
| As a % of total Shares held (b) | 100 | 100 |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 436374630 | 436374630 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | Textual Information(1) | |

| | |
|------------------------|--------------------|
| Text Block | |
| Textual Information(1) | Exempted Category. |

| | | |
|--------------------------------------|---|--|
| Financial Institutions/ Banks | | |
| Searial No. | 1 | |

| | | |
|--|----------------|---------------------------------------|
| Name of the Shareholders (I) | Bank of Baroda | Click here to go back |
| PAN (II) | AAACB1534F | Total |
| No. of fully paid up equity shares held (IV) | 12462500 | 12462500 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 12462500 | 12462500 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.55 | 2.55 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 12462500 | 12462500 |
| Class eg:y | | |
| Total | 12462500 | 12462500 |
| Total as a % of Total Voting rights | 2.55 | 2.55 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.55 | 2.55 |
| Number of Locked in shares (XII) | | |

| | | |
|---|----------|----------|
| No. (a) | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 12462500 | 12462500 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

| Any Other (specify) | | |
|---|--|---------------------------------------|
| Serial No. | 1 | |
| Category | National Investment Fund | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | Click here to go back |
| Name of the Shareholders (I) | Special National Investment Fund | |
| PAN (II) | | Total |
| No. of the Shareholders (I) | 1 | 0 |
| No. of fully paid up equity shares held (IV) | 10765076 | 0 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 10765076 | 0 |

| | | |
|--|------------------------|---|
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.2 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 10765076 | 0 |
| Class eg:y | | |
| Total | 10765076 | 0 |
| Total as a % of Total Voting rights | 2.2 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and Warrants (X) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.2 | 0 |
| Number of Locked in shares (XII) | | |
| No. (a) | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 10765076 | 0 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | Textual Information(1) | |

| | |
|------------------------|--------------------|
| Text Block | |
| Textual Information(1) | Exempted Category. |

| | | |
|---|---------------------|---------------------------------------|
| Any Other (specify) | | |
| Serial No. | 1 | |
| Category | Bodies Corporate | |
| Category / More than 1 percentage | Category | |
| Name of the Shareholders (I) | | Click here to go back |
| PAN (II) | | Total |
| No. of the Shareholders (I) | 555 | 555 |
| No. of fully paid up equity shares held (IV) | 2993161 | 2993161 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 2993161 | 2993161 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.61 | 0.61 |
| Number of Voting Rights held in each class of securities (IX) | | |

| | | |
|--|---------|---------|
| Class eg: X | 2993161 | 2993161 |
| Class eg:y | | |
| Total | 2993161 | 2993161 |
| Total as a % of Total Voting rights | 0.61 | 0.61 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.61 | 0.61 |
| Number of Locked in shares (XII) | | |
| No. (a) | 0 | 0 |
| As a % of total Shares held (b) | 0 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 2975078 | 2975078 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |